

FORM PTO-892

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

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2165

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7

## NOTICE OF REFERENCES CITED

APPLICANT(S)

MADOFF et al.

## U.S. PATENT DOCUMENTS

*		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						
	J						
	K						

## FOREIGN PATENT DOCUMENTS

*		DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUB-CLASS
	L						
	M						
	N						
	O						
	P						
	Q						

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

R	Wright, David J.; "Technology and performance: the evolution of market mechanisms;" Business Horizons; v32, n6, p65(5); November-December 1989.
S	Chan, K.C.; Christie, William G.; Schultz, Paul H.; "Market structure and the intraday pattern pf bid-ask spreads for NASDAQ securities;" Journal of Business; v68, n1, p35(26); January 1995.
T	"The New York Stock Exchange fears that its specialist system may be overloaded;" Wall Street Journal 3 Star, Eastern SP Edition; p331; 19 January 1983.
U	Corwin, Shane A.; "Differences in trading behavior across NYSE specialist firms;" Jornal of Finance; 54, 2, 721(2); April 1999

EXAMINER

Forest Thompson Jr.

DATE

April 3, 2001

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\* A copy of this reference is not being furnished with this office action.  
(See Manual of Patent Examining Procedure, section 707.05(a).)